FAIS Disclosures
Orinoco (Pty) Ltd
(FSP Number: 51913)

Reviewed By Compliance Officer
Approved By Key Individual
Last update: 06.10.2026

Company Name
Orinoco (Pty) Ltd
Physical Address
Workshop 17, Hyde Park Corner
Office 219 & 221 2nd Floor
North Tower, 6th Road
Hyde Park, Johannesburg
2196
License Number
51913
Website
www.finorinoco.com

- **Company Details**

Orinoco Capital (Pty) Ltd (the “Company”) is a Private Company duly incorporated in accordance with the laws of the Republic of South Africa, bearing registration number 2021/704761/07. The Company is authorized by the Financial Sector Conduct Authority (“FSCA”) to operate as a Financial Services Provider ("FSP") with FSP number 51913 under the Financial Advisory and Intermediary Services Act No. 37 of 2002 (“FAIS Act”).

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- **Product Supplier Details**

The Company acts as a financial services intermediary services, meaning it helps clients open trading accounts through an online platform, and offers customer support, but does not execute trades. The Company does not act as a market maker, product issuer, or provider of the underlying instruments and operates solely in an intermediary capacity between the client and Octa Markets Incorporated, a company incorporated in Saint Lucia under registration number 2023-00092 (herein referred to as the “Execution Venue”).

The Company does not act as the Execution Venue for client trades and does not assume the role of principal or counterparty to any client trades. The Company does not provide discretionary portfolio management, investment advice, or execute trades on behalf of clients.

Name
Octa Markets Incorporated
Physical Address
Ground Floor, Rodney Court
Building
Gros-Islet
Saint Lucia
Business Address
Parcel 132/1, Block 2938B
Road Town, VG1110
Wickham’s Cay ii, Tortola
British Virgin Islands
Business Registration Number
2023-00092
Email
support@octatrade.co

Website
https://www.octatrade.co/

- **Key Individual and Representative details**

The Company's representatives and key individual meet the fit and proper requirements as prescribed by theFAIS Act and operate under appropriate supervisory procedures in accordance with the FAIS Act and are qualified to assist you in a professional manner.

Name
Sipelele Madikizela
Authorized Products
Derivative Instruments
Years in the industry
10+

- **Financial Services and Products**

Financial Product
Advice - Automated
Advice - Non Automated
Intermediary Services - Scripted
Intermediary Services - All

1.13

Derivative instruments

- **FIC Compliance Officer**

Name
Christian Botha
Email address
compliance@finorinoco.com

Years in the industry
20+

- **FAIS Ombuds**

If you are not satisfied with the outcome, we will regard the complaint as being unsatisfactorily resolved. In such a case, you may approach the office of the Ombud for Financial Services Providers or take other steps as may be advised by your legal representatives.

Physical Address

Menlyn Central Office Building
125 Dallas Avenue
Waterkloof Glen
Pretoria
0001
Postal Box
P O Box 41, Menlyn Park, 0063
Email
info@faisombud.co.za
Telephone
012 762 5000
or
086 066 3247
Website
www.faisombud.co.za

- **Conflict of Interest**

In accordance with the Company's Conflicts of Interest Policy, the Company places a high priority on Clients' interests. Conflicts of interest could undermine the integrity and professionalism of the Company and its employees, any potential or recognized instance must be identified as early as possible. If conflict situations cannot be avoided, the FSP will manage equitably and in the client's interest as an integral part of the Company's duties and obligations. The Company maintains an active Conflicts of Interest Policy, which is available on the Company's website.

- **Other Disclosures**

- The FSP has a suitable Professional Indemnity in place in accordance with FAIS Act.

- It is important that you are absolutely sure that the product and transactions meet your needs and that you feel you have all the information you need before making a decision.

- The FSP acts solely as an intermediary in terms of the FAIS Act and Section15 of the FAIS General Code of Conduct, rendering only an intermediary service in relation to the derivative products (CFD's) offered by Octa. The FSP does not make any recommendations, it does not provide guidance or any proposal whatsoever related to financial products to users and/or Clients. The FSP does not perform any study nor does it analyse the user's financial objectives nor investment risk profiles. Users must ensure that they are comfortable with the trading decisions they take and must contact their FAIS approved financial advisor should they require any financial advice.

- The FSP does not conduct any market making nor will it be the counterparty to a user's/client's trades.

- Waiver of rights: Users/ Clients are hereby advised that no representatives of the FSP or any other person may ask users/clients, or offer any inducement to users/clients, to waive any right or benefit conferred on any user/client, to waive any right or benefit conferred on any user/client by or in terms of any provision of the FAIS Act. Note further that no representative has a right to

enter into any contractual obligation on the user's/client's behalf, or to restructure portfolios without the user's/ client's prior written consent.

- The Client authorises the FSP to access any relevant information required pertaining to the Client to enable the FSP to adequately provide the necessary intermediary services. Any client information obtained by our representatives shall remain confidential and shall be disclosed to third parties only in accordance with our Privacy Policy.